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Some General Principles in Cryogenic Design, Implementation, and Testing

Michael DiPirro
(with material from Rob Boyle)
NASA/Goddard Space Flight Center



Outline

- Opening remarks
- The role of thermodynamics
- General design principles
- Properties of materials
- Producing “cold”
- Cryo-cooling in space
- Instrumentation
- Heat switches
- Superconductivity
- Cooling Below 1 K

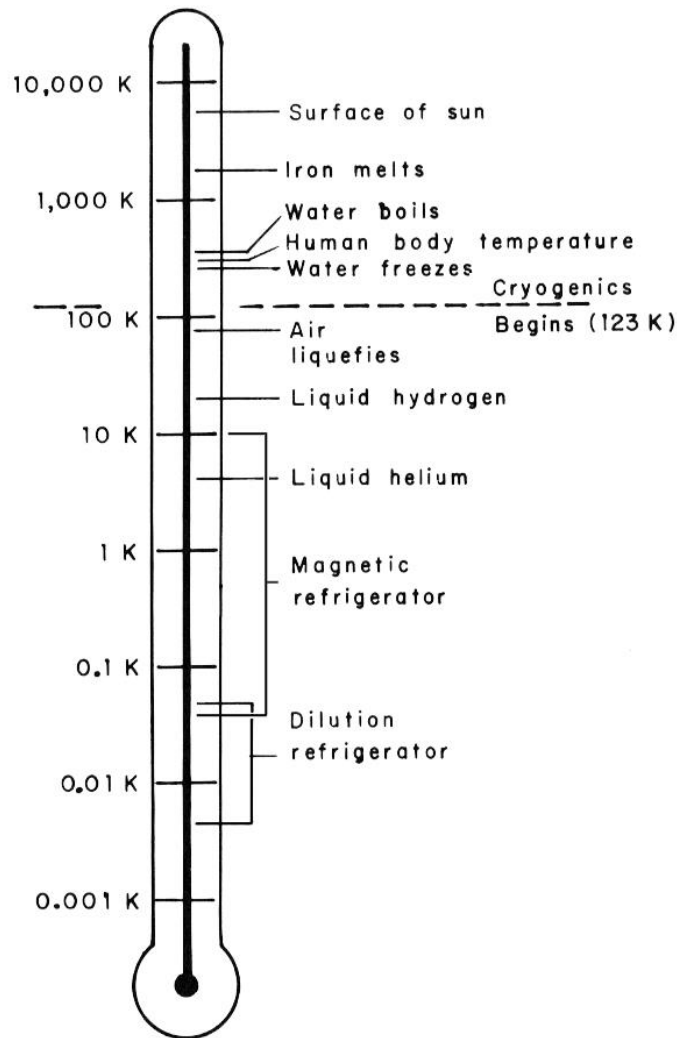


What is “Cryogenic”

- For the purposes of this talk, $T < 100 \text{ K}$ is cryogenic
 - Air liquefies
 - Certain metals and ceramics become superconducting
 - Out of the realm of our normal experience (arctic conditions are not cryogenic)
 - Heat capacities decrease from the Dulong & Petit ($3/2 R$) value
 - In general the physics becomes different from room temperature



The Logarithmic Temperature Scale



- Note use of absolute scale
- Each decade corresponds to different physics and different solutions to design problems
 - 100-1000 K is the range we are used to
 - 10-100 K, air liquefies and solidifies, High temperature superconductivity
 - 1-10 K, low temperature superconductivity, liquid helium
- Note that properties are not “constant” any more, so concepts like “average” temperature must take this into account
- When analyzing a system, heat flow margin will take the place of temperature margin



Thermodynamics is a Serious Subject!



Robert Boyle
1627-1691



*Benjamin Thompson Count
Rumford* 1753-1814



*Nicolas Léonard
Sadi Carnot* 1796-1832



J. Willard Gibbs
1839-1903



Heike Kamerlingh Onnes
1853-1926



Max Planck
1858-1947



James P. Joule
1818-1889



Rudolf Clausius
1822-1888



*Gustav Robert
Kirchhoff* 1824-1887



Walther Nernst
1864-1941



Constantin Carathéodory
1873-1950



Albert Einstein
1879-1955



*William Thomson
Lord Kelvin* 1824-1907



Clerk Maxwell
1831-1879



Peter Debye
1884-1966



F. E. Simon
1893-1956



The Laws of Thermodynamics

- First Law of Thermodynamics (Conservation of Energy)
 - Energy in = Work out
 - you can't get something for nothing
- Second Law of Thermodynamics (Entropy)
 - $\partial \text{Entropy} \geq (\partial \text{Energy} / \text{Temperature})$
 - you can't break even
- Third Law of Thermodynamics (Absolute Zero)
 - Entropy $\rightarrow 0$ as Absolute Temperature $\rightarrow 0$
 - there's no use trying



Thermodynamics

- Thermodynamics is key to understanding cryogenic processes
- Refrigeration
 - 1st and 2nd laws of thermodynamics
- Approach to Absolute Zero
 - 3rd law of thermodynamics



Staging

- Intercept heat in stages to reject heat at the highest possible temperature
- In general heat rejection difficulty goes as T^{-2}



Design: The “KISS” Principle

- Start with a design that can be calculated using “back of the envelope” methods
 - Make all components easy to analyze
 - Analysis effort should not be underestimated!
 - The fewer items that are crucial in a design the better
 - Simpler analysis
 - Simpler construction
 - Simpler validation



Example

- GSE motor driven photogrammetry cameras for JWST
 - Original concept: camera housing to cool passively through incidental contact in motor and gears
 - Very difficult to model and verify performance
 - Became an extra potential heat source that had to be tracked
 - Solution: make system “deterministic” by using thermal straps



Estimating by Previous Example

- Previous systems have been made that can be used as a “jumping off” point for a thermal design or estimating cooling requirements
 - Various parametrizations have been used to give an analog expression to these extrapolations or interpolations of actual systems



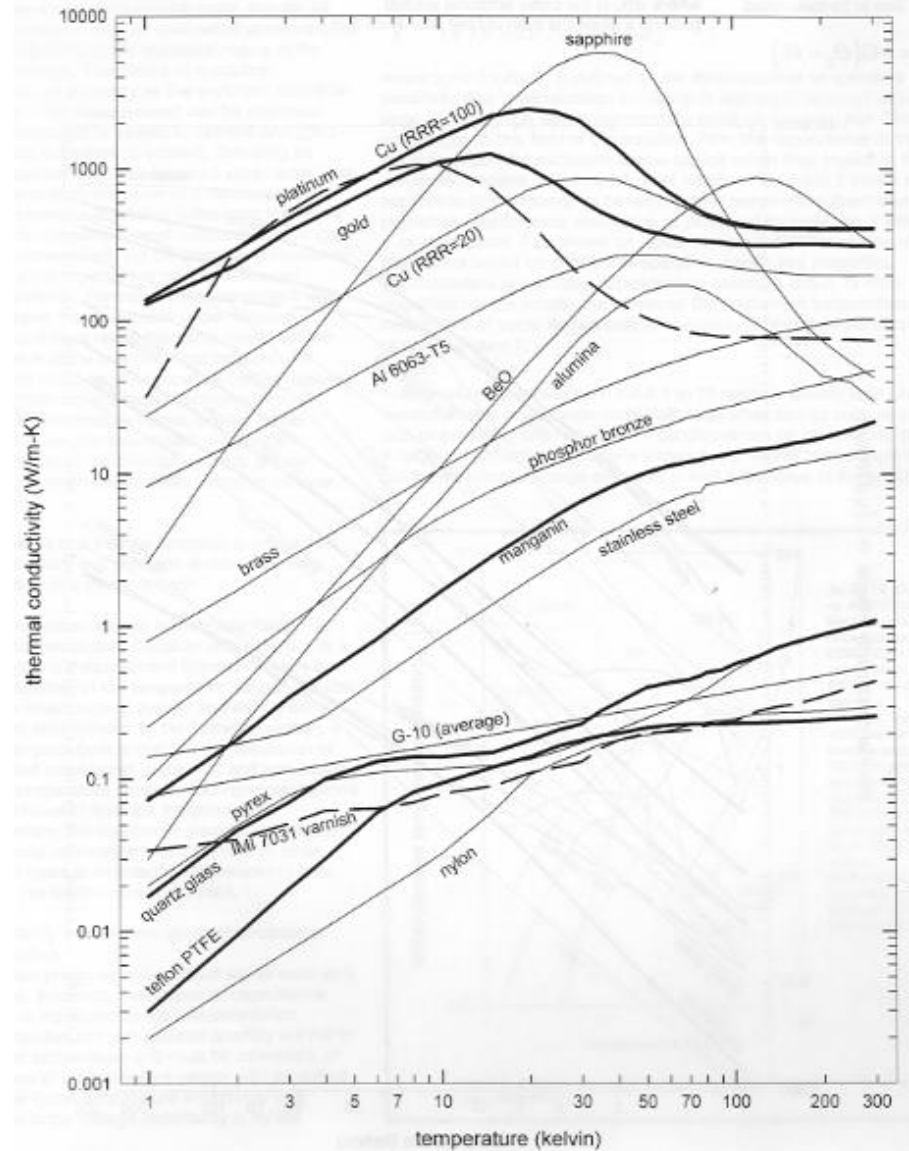
Properties of Materials

- Thermal Conductivity
- Thermal Absorptivity and Emissivity
- Strength and Brittleness Properties
- Electrical Conductivity
- Specific Heat
- Gases and Liquids (density & pressure vs. temperature, heat of vaporization and melting, crystal structure, etc.)
 - Example: solid nitrogen has a low temperature change of phase which causes an expansion. This was learned by NICMOS at the cost of a compromised mission



Conductivity Graph

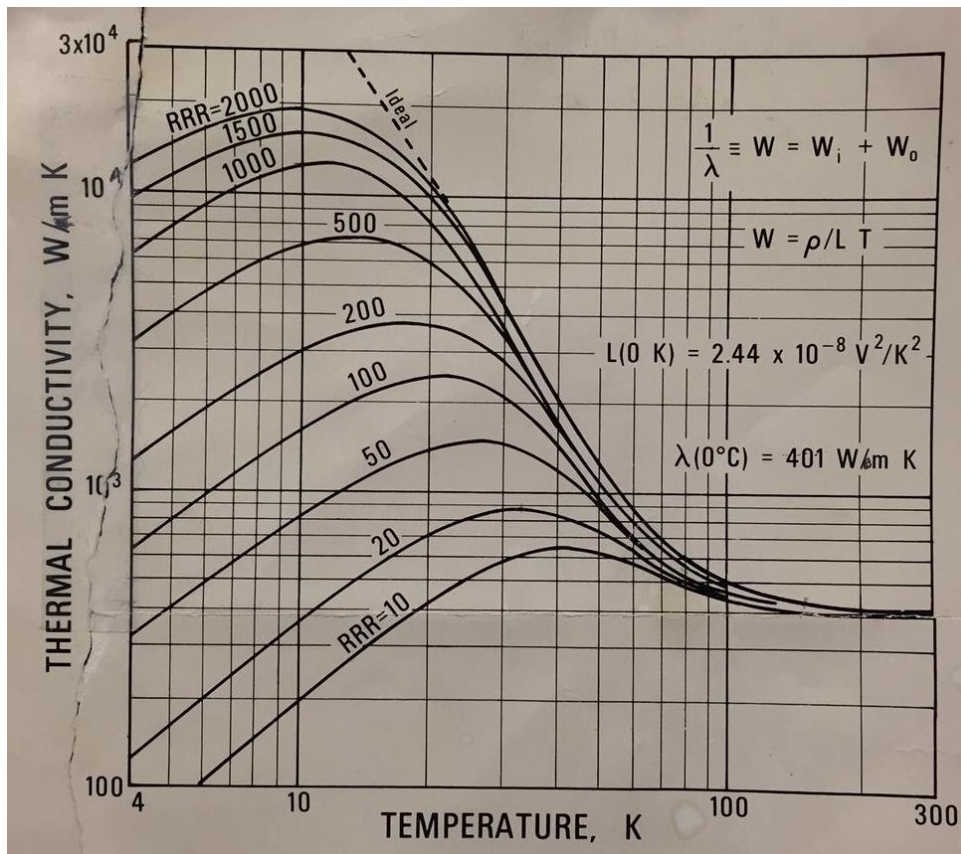
- Thermal conductivity varies greatly between room T and low T





High Purity Metals

- At low temperature electrons have fewer phonons to scatter from, so the thermal conductivity goes up until defects and impurities dominate



RRR = residual resistance ratio
A measure of the purity of the metal
And its crystallinity



Wiedemann-Franz

- Electrons carry the heat in metals
- W-F is a relation between electrical and thermal conductivity

$$\rho = L_0 T / K$$

Where ρ = resistivity, T = absolute temperature, K = thermal conductivity, and L_0 = Lorentz constant = $2.44 \times 10^{-8} \text{ V}^2/\text{K}^2$

- Not applicable to superconductors



Emissivity and Absorptivity: Temp. and Wavelength Dependence

- The emissivity of most materials is temperature and wavelength dependent
 - Requires wavelength dependent analysis for radiation which is usually accomplished by creating a few wavelength bands in the analysis software
 - Experience on JWST shows that 3 wavelength bands representing the major “hot” ($60\text{ K} < \text{“hot”} < 300\text{ K}$) sources provide enough accuracy without greatly increasing model run time



Properties of MLI

- The Lockheed Equation

$$Q/A = [(C_s N^{3.56} T_m)/(N_s + 1)](T_h - T_c) + [(C_r \epsilon_{tr})/N_s](T_h^{4.67} - T_c^{4.67})$$

Where Q/A is W/m^2 , T_m is the average of T_h and T_c , N is the layer density in layers per cm, N_s is the total number of layers, ϵ_{tr} is the surface emissivity, C_s is $2.11e-9$, and C_r is $5.39e-10$.

- Degradation of MLI at lower T
 - Basically dominated by thru-layer conduction at low T
- Structural MLI
 - Each layer is separated by a well defined spacing and has some structural qualities
- Lateral conduction
 - May be minimized by slitting



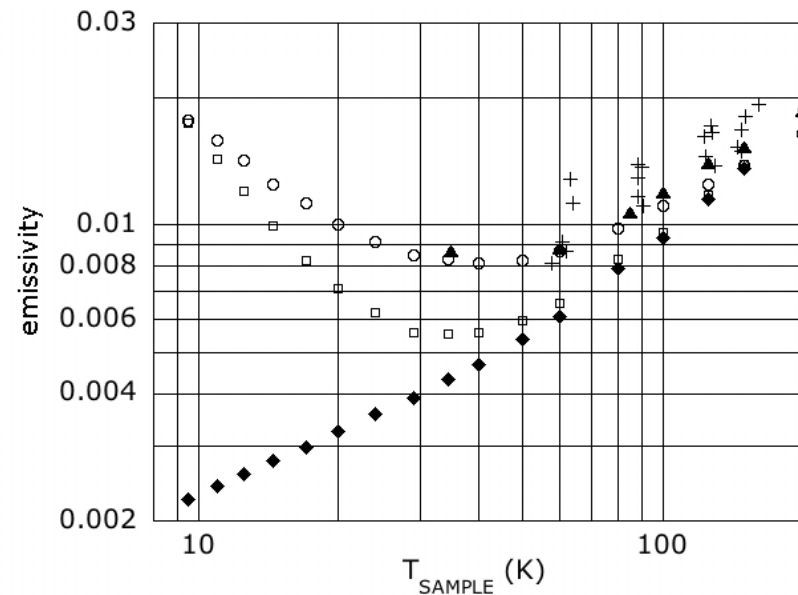
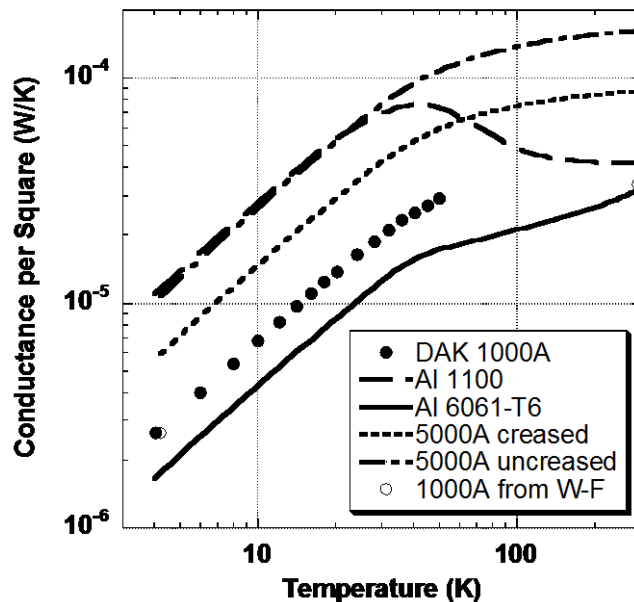
DAK Emissivity vs. T

Metals' emissivity follow the Hagen-Reubens relation to first order:

$$R \sim 1 - 2[(2\varepsilon_0\omega)/\sigma]^{0.5}$$

R is the reflectivity, ε_0 is the permittivity of vacuum, ω is the frequency of the radiation and σ is the conductivity of the metal surface

But DAK's metal is thin





Suitable Materials for Cryo

- Austenitic stainless steels: 304, 304L, 316, 321, A286
- Aluminum alloys: 6061, 6063, 5083, 2219, 1100
- Copper: OFHC, ETP and phosphorous deoxidized
- Brass
- Fiber reinforced plastics: G –10 and G –11, CFRP
- Niobium & Titanium (frequently used in superconducting RF systems)
- Invar (Ni /Fe alloy)
- Indium (used as an O ring material)
- Kapton and Mylar (used in Multilayer Insulation and as electrical insulation)
- Teflon (does not become brittle, but creeps)
- Quartz (used in windows)



Unsuitable Materials for Cryo

- Martensitic stainless steels - Undergoes ductile to brittle transition when cooled down.
- Cast Iron – also becomes brittle
- Carbon steels – also become brittle. Sometimes used in 300 K vacuum vessels but care must be taken that breaks in cryogenic lines do not cause the vacuum vessels to cool down and fail
- Rubber and most plastics
 - Plastic insulated wires are frequently OK as long as the wire is not repeatedly flexed which could lead to cracking of the insulation (check outgassing first)



Gas Conduction-1

- Can be bad
 - Unwanted thermal shorts in a test
 - Failure of the XRS instrument on Astro-E2
- Can be good
 - Gas gap heat switches
 - Aid to speed cool down and warm up
 - Can be used as a substitute for a failed heat switch

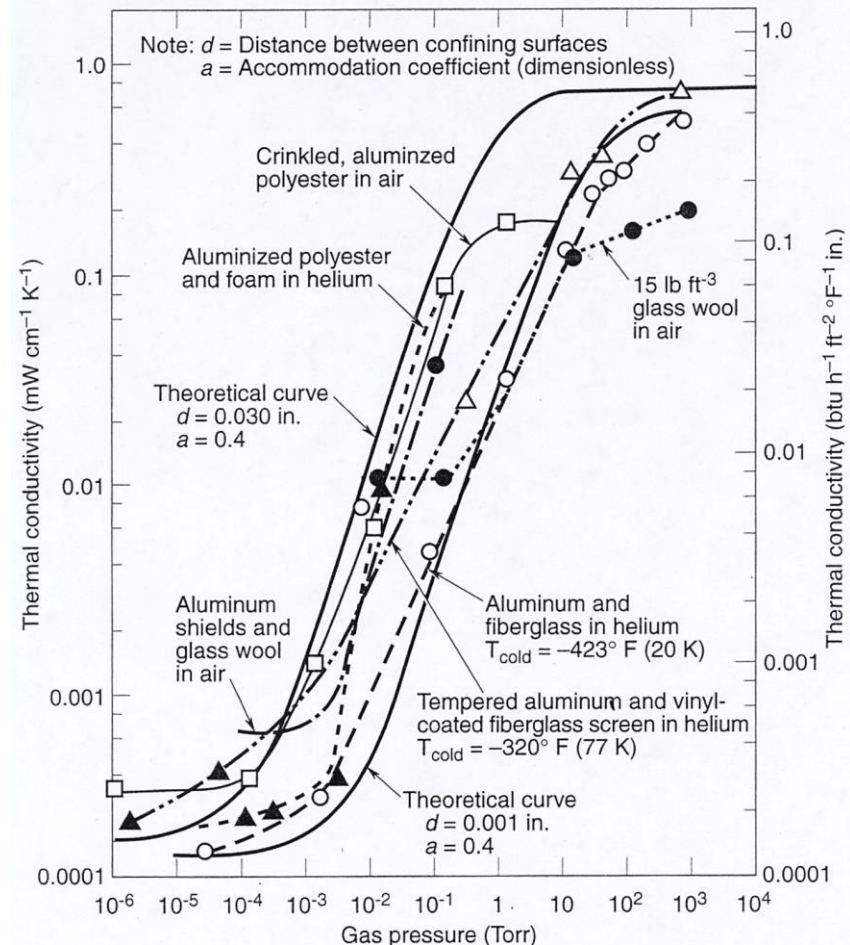


Fig. 5.2. Effect of gas pressure on thermal conductivity.



Gas Conduction-2

- Molecular Heat Transfer
 - Gas density is lower than mean free path between objects
 - Heat transfer depends on the temperature difference but not on the separation distance
- JWST example
 - To shorten the cool down time from room T (300 K) to 30 K helium exchange gas is used ($\sim 10^{-2}$ Pa) within the chamber
 - Mean free path is exceeded for 10^{-3} to 100 Pa depending on objects' spacing
- ASTRO-H example
 - In the EM dewar a heat switch failed open
 - To operate the adiabatic demagnetization refrigerator, a method to use $\sim 10^{-3}$ Pa of gaseous helium to remove the heat of magnetization was used successfully
 - Too much gas causes excessive thermal shorting to warmer components in the dewar



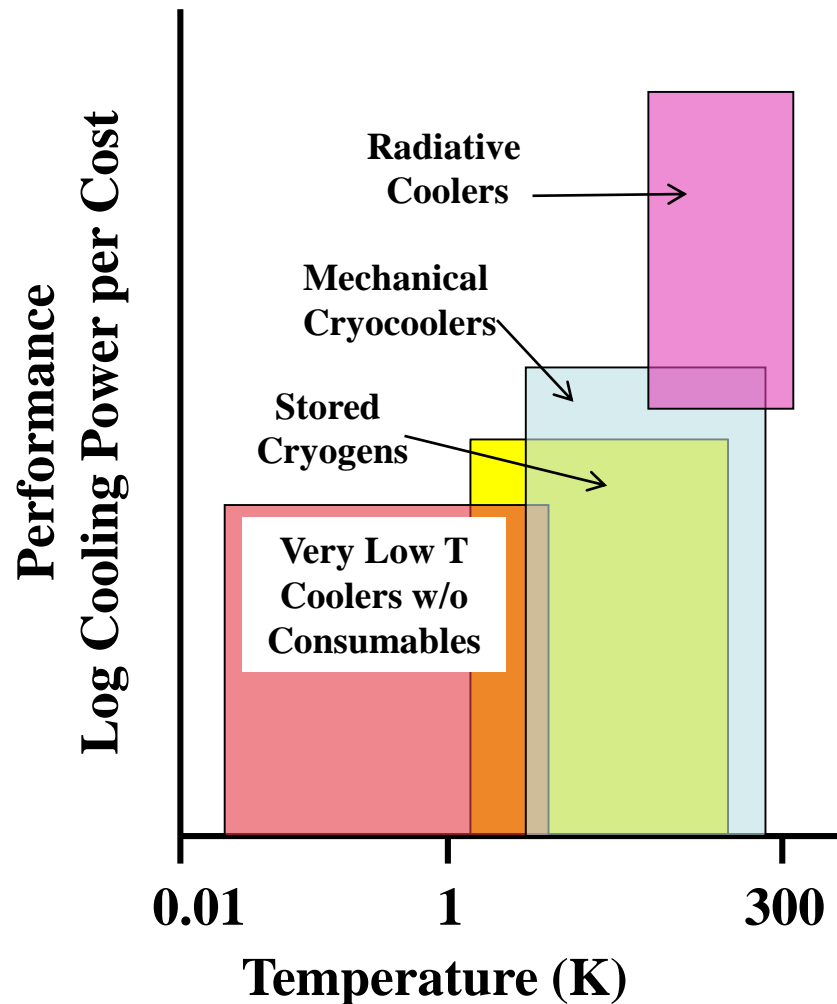
Producing Cold: Cryogenics and Cryocoolers

- Mechanical cryocoolers
- LN_2 , LHe, etc.
- Supplement through use of intermediate cooling stages
 - Vapor cooling
 - radiators



Producing Low Temperatures in Space

- Radiation can only work to ~ 30 K, practically





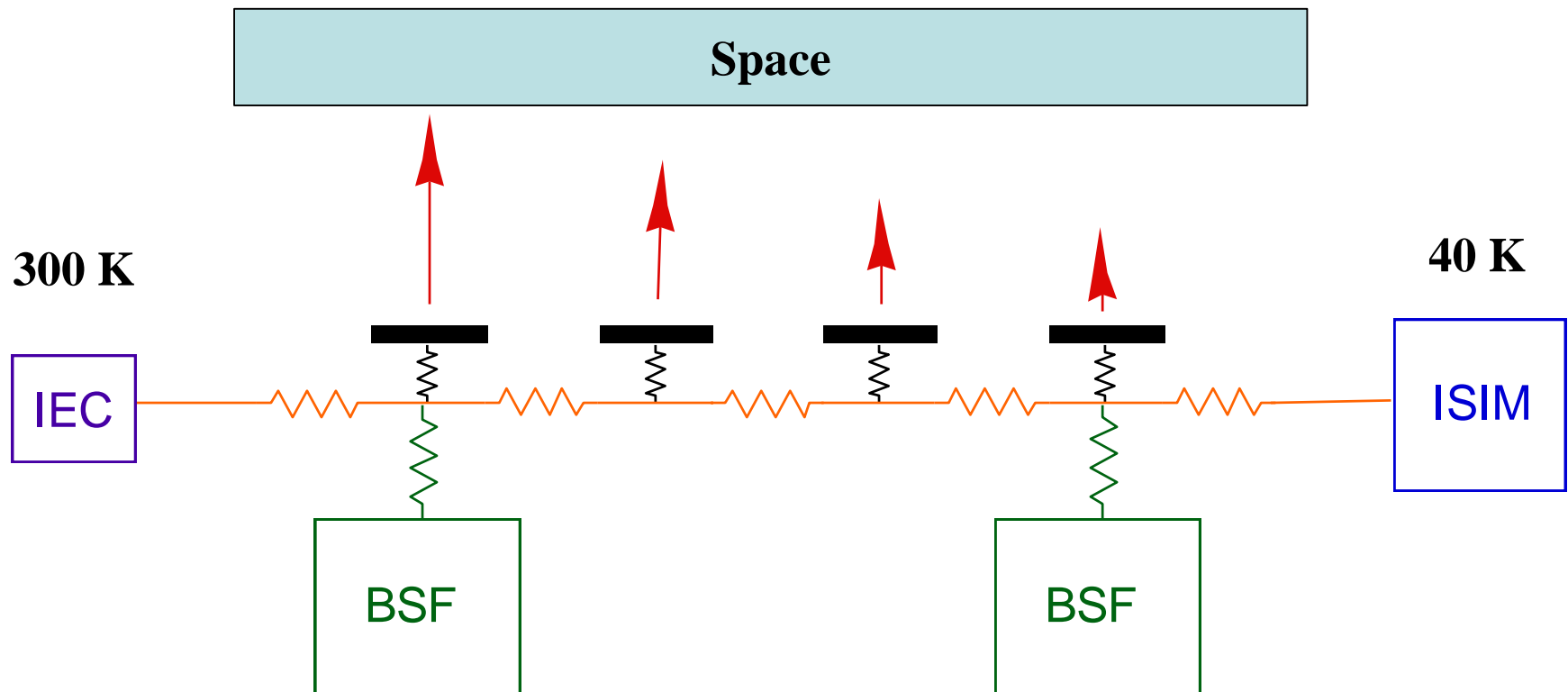
Radiators in Space

- Some flight heritage at cryogenic temperatures (COBE, Landsat, Cassini/CIRS, MAP, Spitzer)
 - JWST will use radiative cooling
 - Successful test of Subscale Cryo-optical Thermal Testbed in support of ST-9 Large Space Telescope proposal
- Operate from room temperature (and above) to as low as 30 K
 - Depends strongly on mission design
- Passive heat rejection
 - Sunshade/earthshade provides shielding from incoming radiation
 - Radiator with a view of deep space connects to heat source (instrument, optics, part of spacecraft bus) by means of a thermal distribution system
 - Metal conductors
 - Loop heat pipes
 - Requires heaters/thermostats to regulate temperature
- Require stringent controls to meeting thermal budgets
- Spitzer reached 34 K on radiative outer shell
- JWST expects to reach ~26 K on instrument radiators



Different Geometry - JWST Harness Radiator

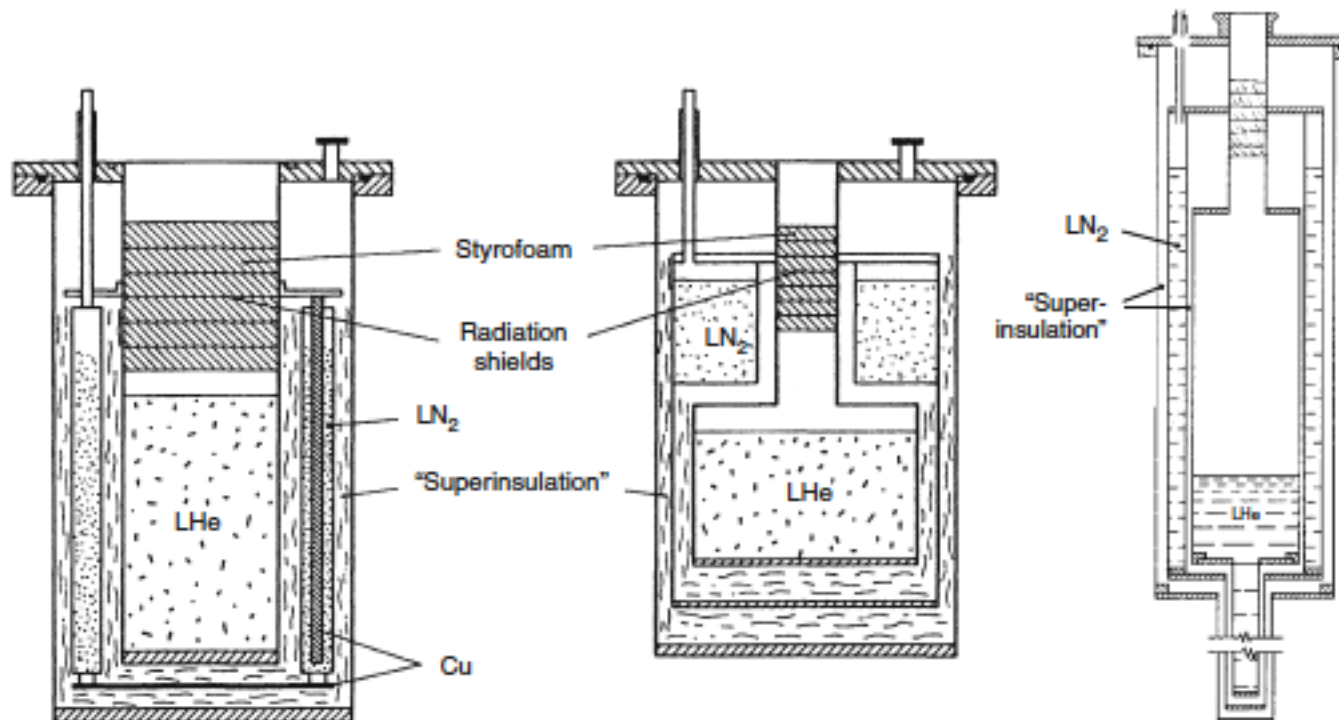
- 4 stages of isolation to limit the amount of heat flowing from the room temperature electronics (IEC) to the cold instruments (ISIM)
 - Coldest stage is actually only isolator





Dewar Construction

- Dewar must trade mechanical robustness with thermal isolation
- For liquid helium dewars usually have conduction and radiation heat loads roughly the same
- Use vapor cooled shields to intercept heat at higher temperatures





Working with Cryogenic Fluids

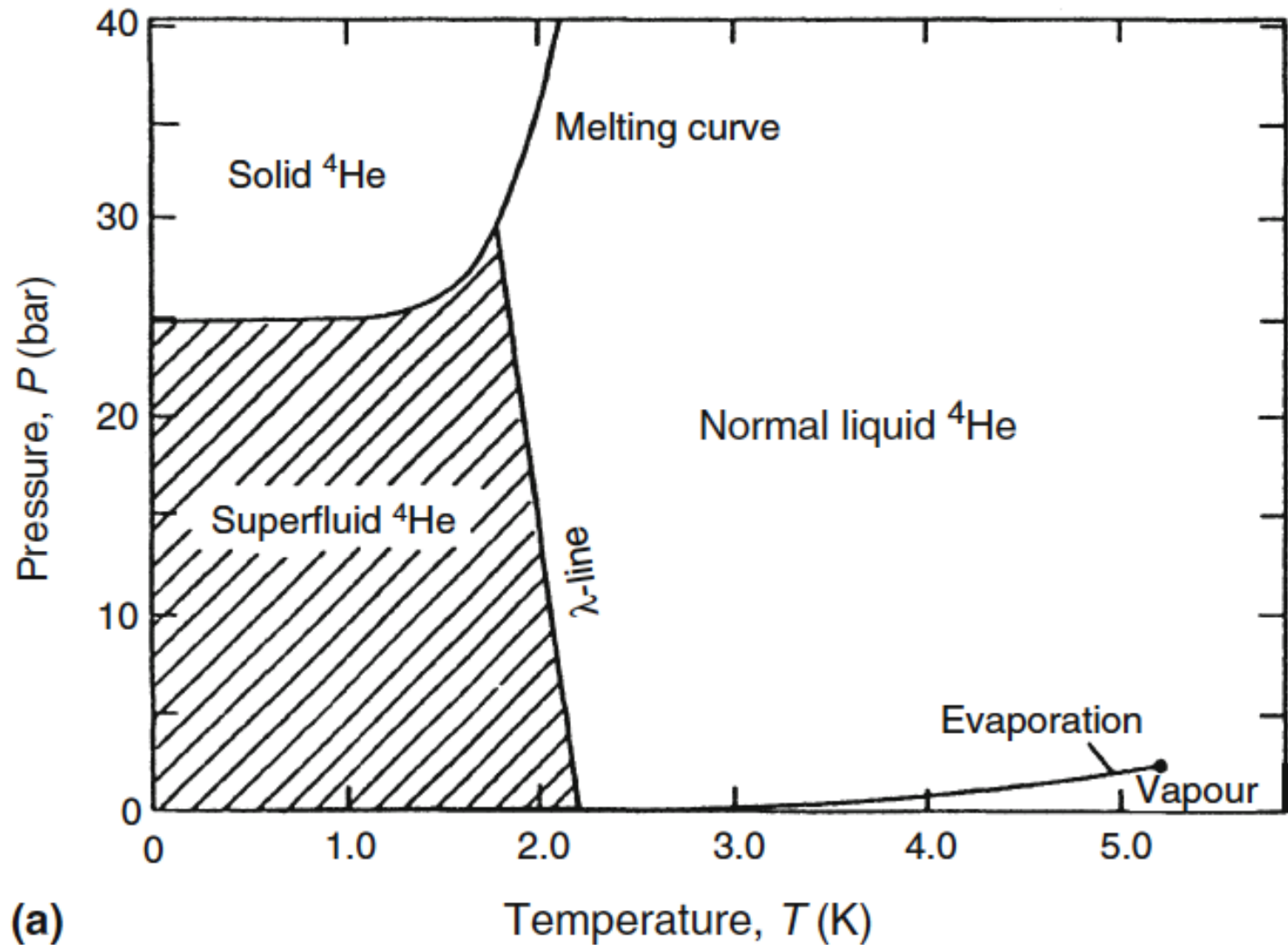
- In general:
 - Low heat of vaporization
 - Can be pumped or pressurized to change boiling point
 - Can freeze if too cold (except helium)
 - Low to zero contact angle, i.e., wets all surfaces
 - Represents a large potential energy in a sealed container

IRAS Dewar Launched 1983
First Superfluid He Dewar in Space
(not as large as it appears)





The Unique Phase Diagram of ^4He





Lab Cooler - Gifford McMahon Cycle

- Gifford-McMahon Refrigeration Cycle
 - Regenerator stores heat in compression phase, and releases heat in expansion phase
 - Compress while most of the gas is at warm end, and expand while most of the gas is at the cold end
 - Reverse the phase, and you have an expensive heater!





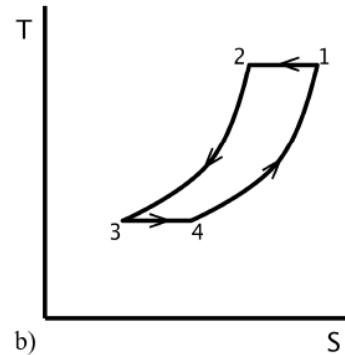
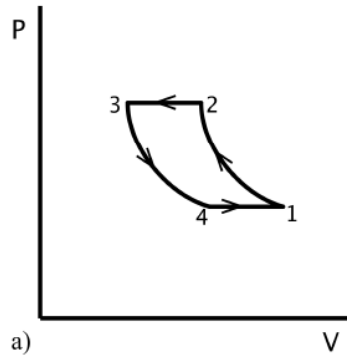
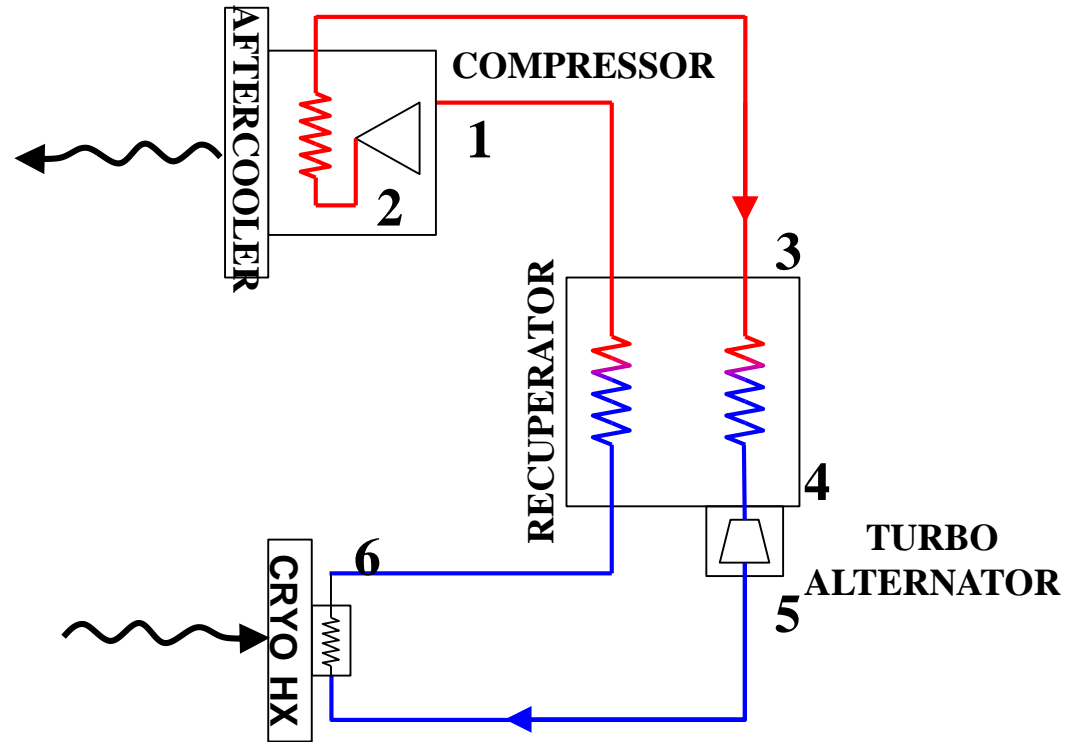
Cryocoolers for Space Use

- Mass, size, input power, and reliability are drivers
- Reverse Brayton Cycle
- Stirling Cycle
- Pulse Tubes
- Joule/Thomson Coolers



Reverse Brayton Cycle

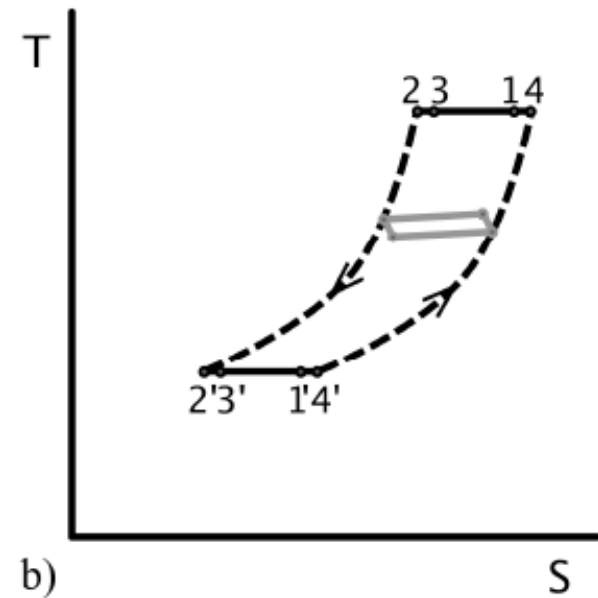
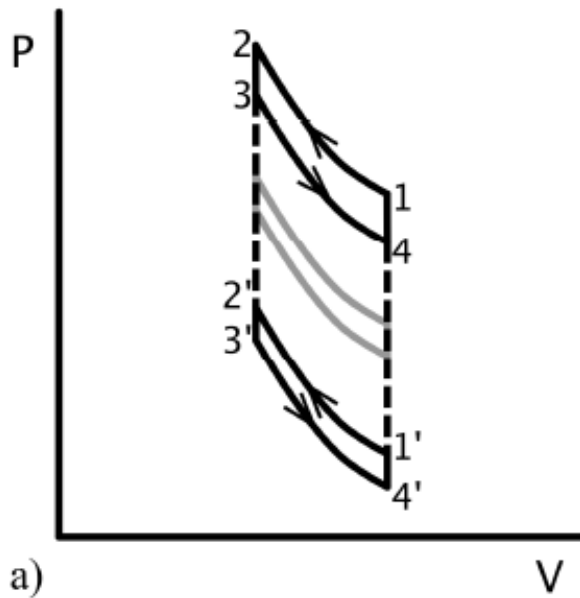
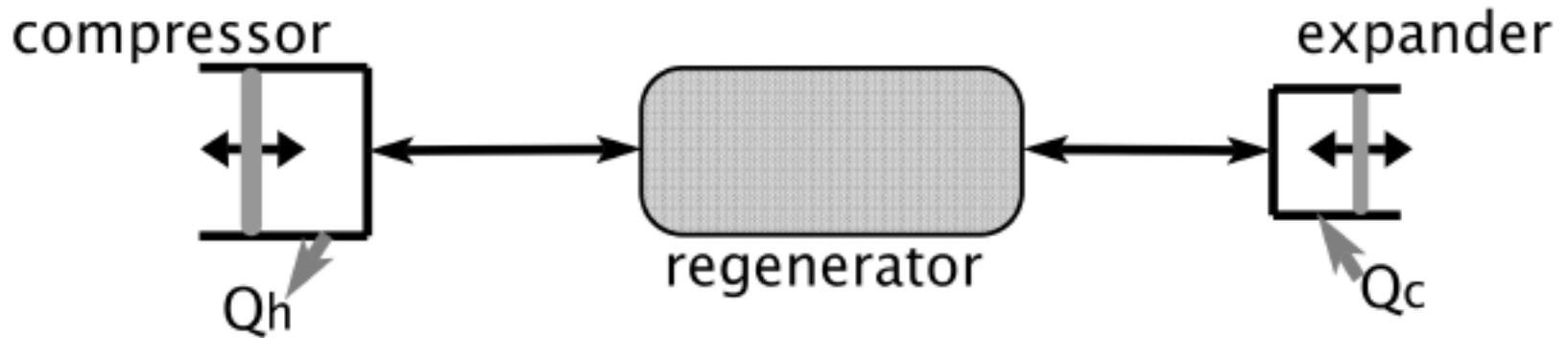
Turbo alternator
removes work from
cold stage,
therefore increasing
cooling





Regenerator Cycle (Stirling and Pulse Tube)

From P. Kittel, “Are P-V and T-S Diagrams Meaningful for Regenerative Coolers?”





Stirling Cycle

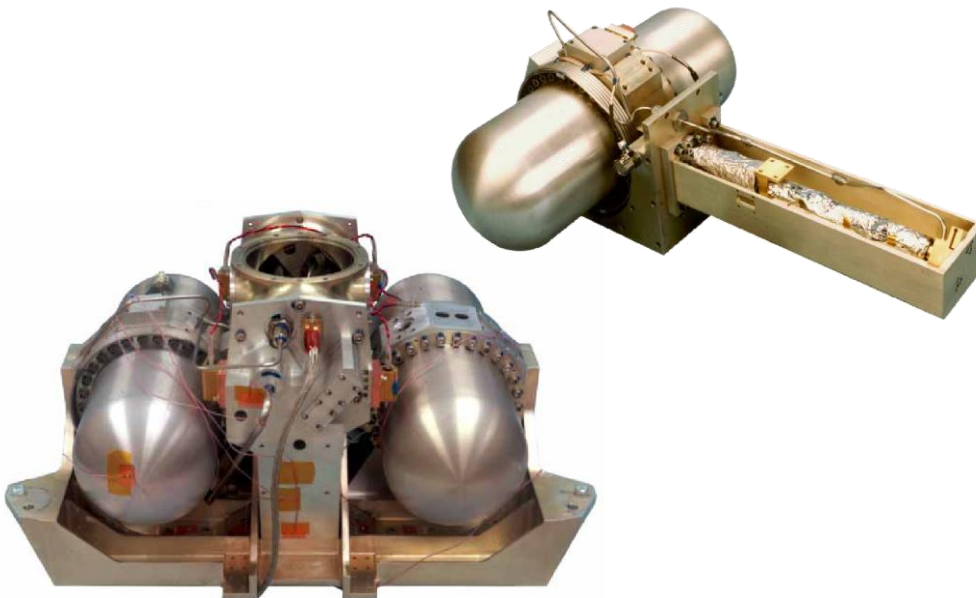
- Similar to GM cycle
 - Identical function of regenerator in coldfinger
 - Pressure cycle driven by oscillator rather than tanks, valves and a compressor
 - Phase angle controlled electrically, mechanically, or pneumatically
- Easier to miniaturize than GM





Pulse Tubes

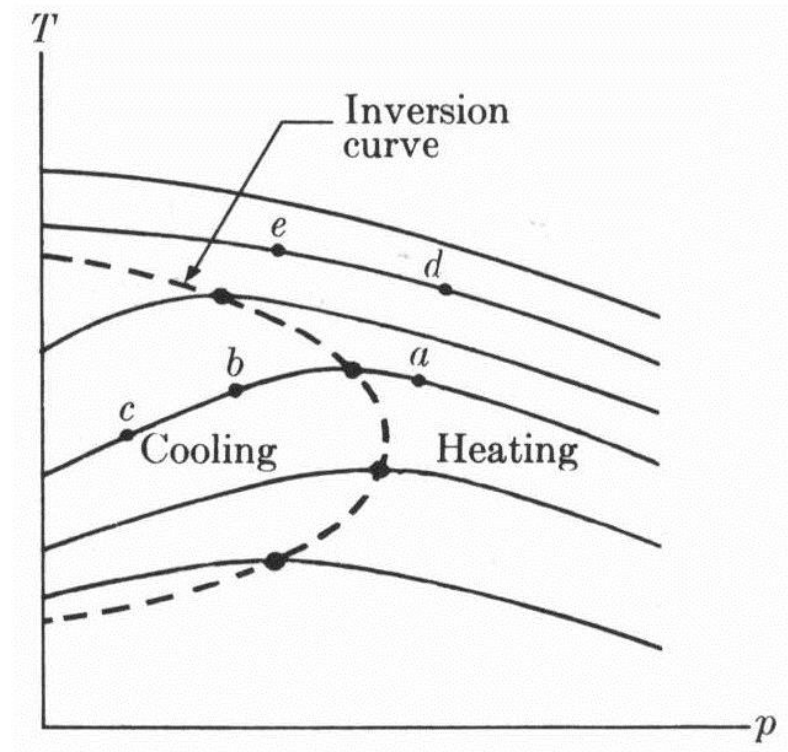
- Similar to Stirling cycle
 - Identical function of regenerator in coldfinger, pressure cycle driven by oscillator
 - Phase angle controlled by resonant gas volume
 - Simpler mechanism than Stirling, but a whole new set of gas-control challenges





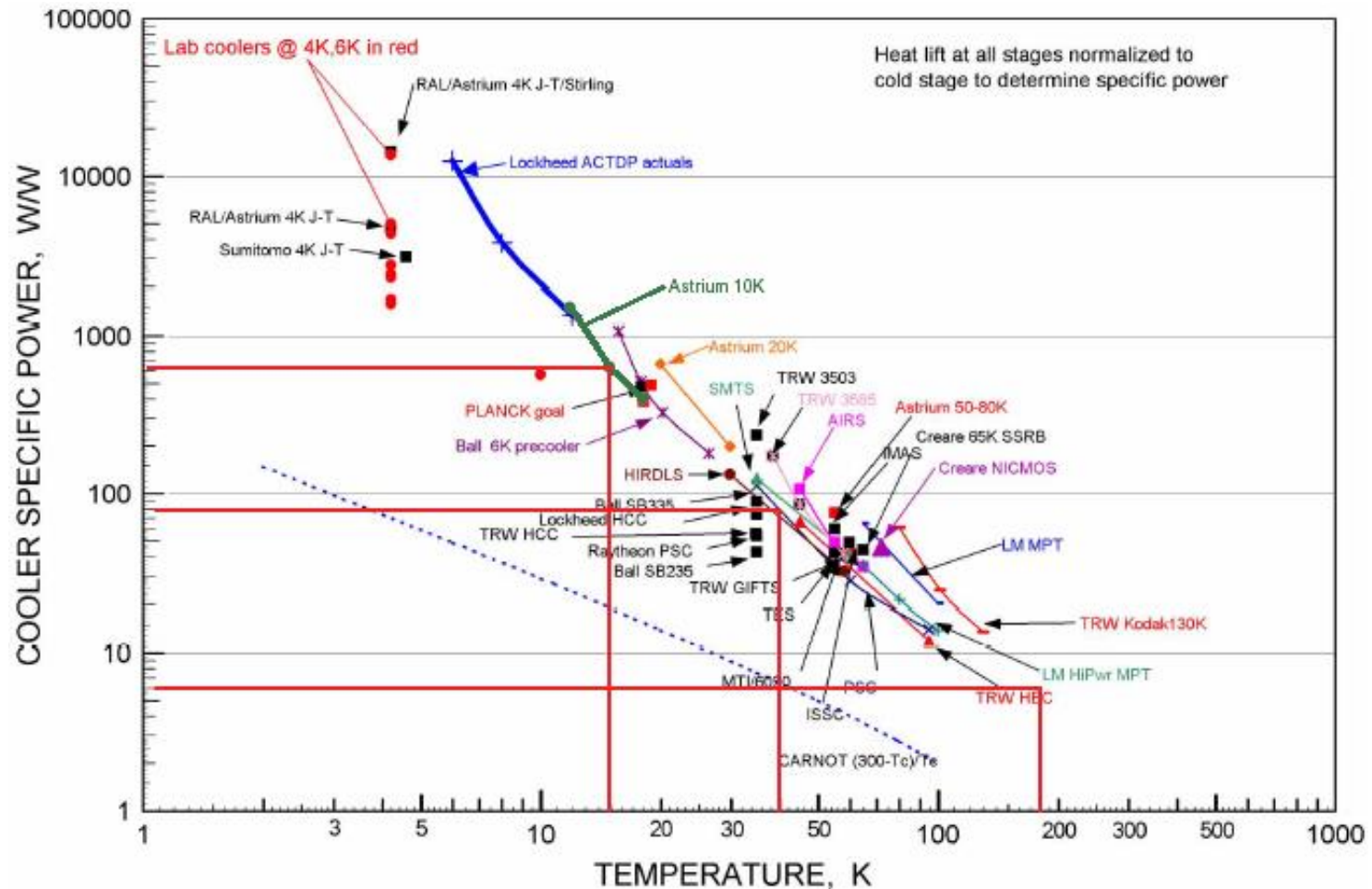
Joule Thomson Expansion

- Gas must be precooled and not too high in pressure to produce cooling when expanded isenthalpically





Space Cryocooler Performance



- Roughly T^{-2} dependence on input power to cooling power ratio



Instrumentation and What is Important to Measure

- Thermometry, thermometry, thermometry
- Pressure for fluids
 - May be in situ or reading vapor pressure
- Pressure for vacuum
 - Pressure reading depends on temperature
 - $P_A = P_B (T_A/T_B)^{1/2}$
 - For example a pressure gauge on the vacuum wall of a thermal/vacuum chamber will read higher than the actual pressure in a cold shroud



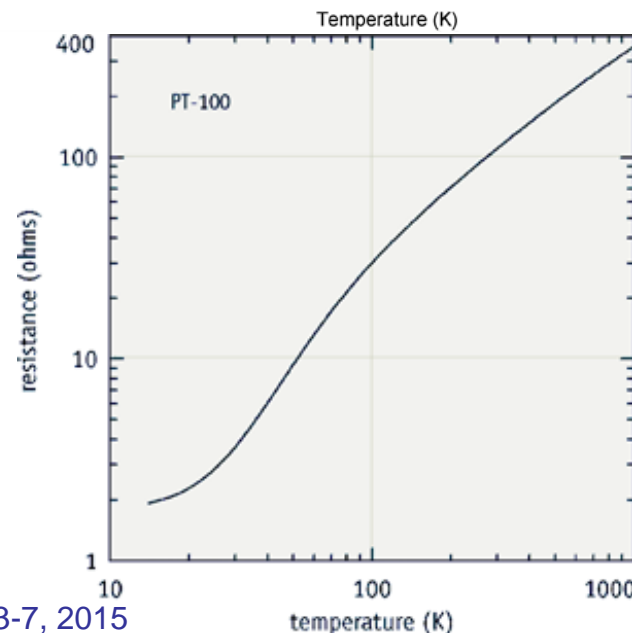
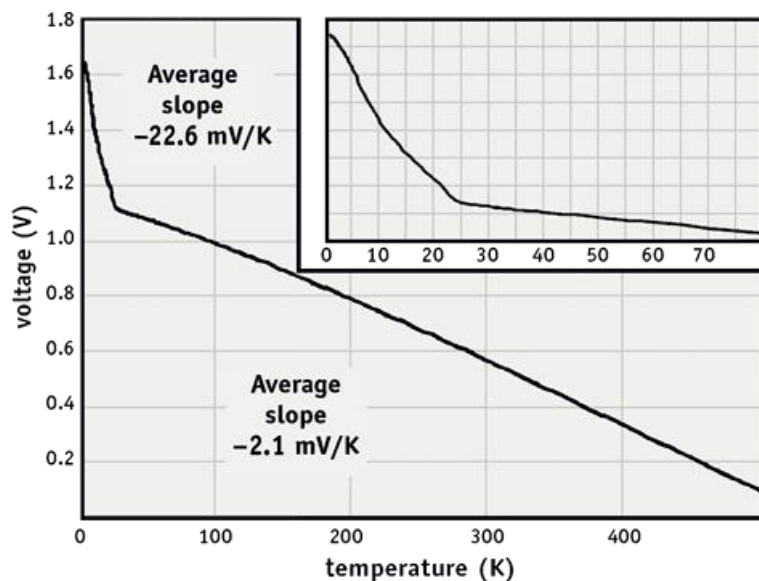
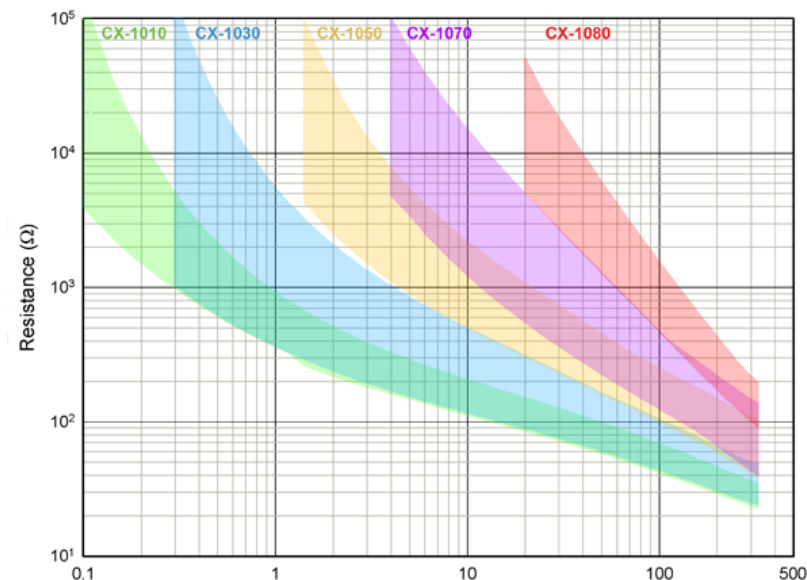
Thermometry

- Select thermometer type based on temperature range
 - Use 4 lead devices where high sensitivity and accuracy are required
 - Remove thermal emfs by reversing current
 - Not possible with diodes
- Self heating can produce erroneous readings in thermistors
 - Function of power and temperature
 - Readout power applied = $10^{-9} T^2$
 - But, higher voltage can be used to obtain higher sensitivity at a cost of accuracy



Thermometry Chart

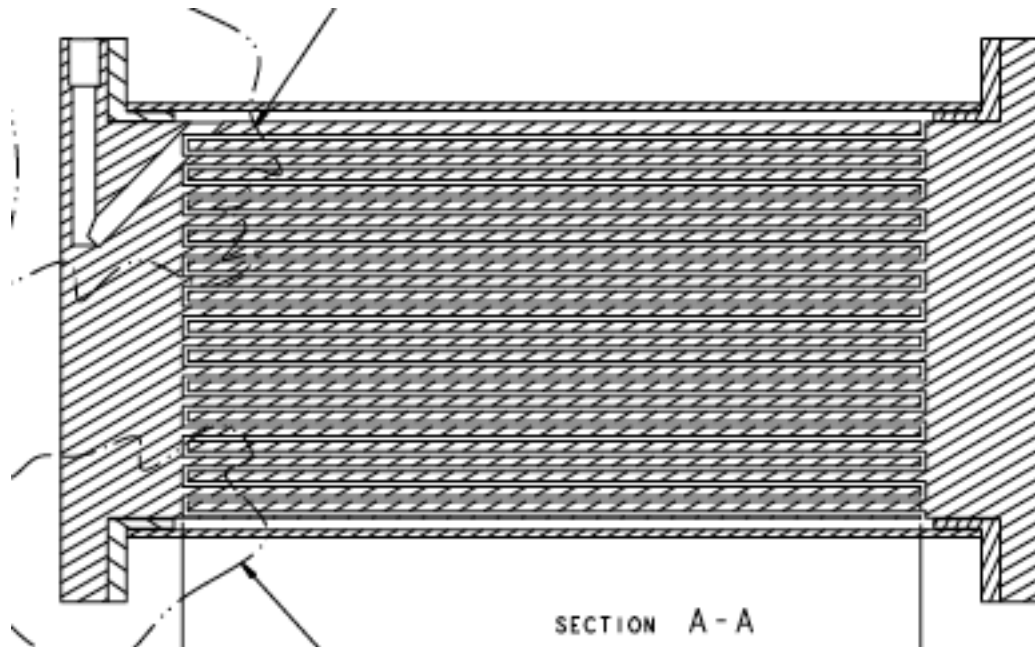
- Figure of merit for thermistors is $1/R \cdot dR/dT$
- Cernox – best < 70K
- Pt – best for > 70 K
- Si diodes good over wide range
- Thermocouples have very poor sensitivity below 100 K





Heat Switches-Gas Gap

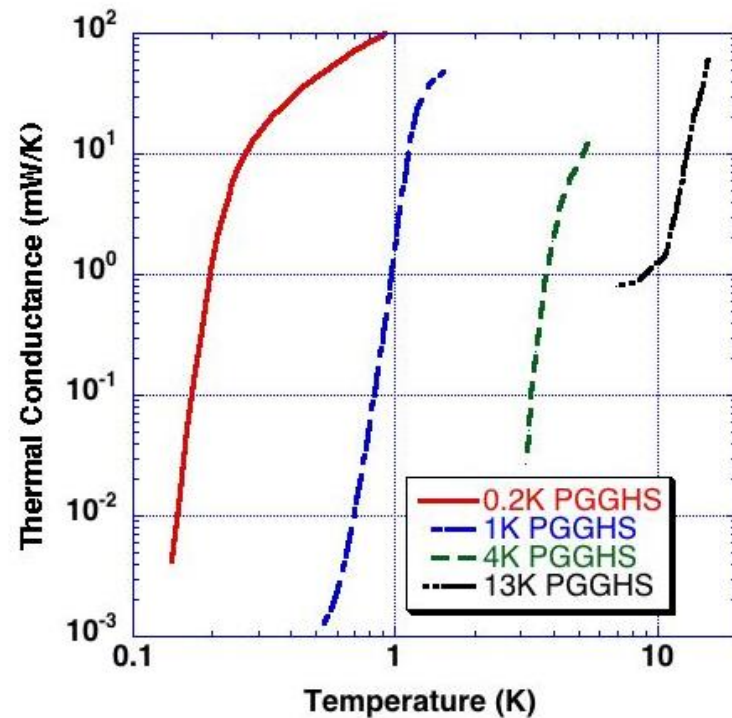
- Uses closely spaced conductors separated by thermally insulating material
- Gas is admitted to gap or pumped out by heating or cooling an adsorbing material (getter)





Heat Switches-Passive Gas Gap

- Passively Operated Gas Gap Heat Switch
 - Getter is thermally attached to the normally cold end





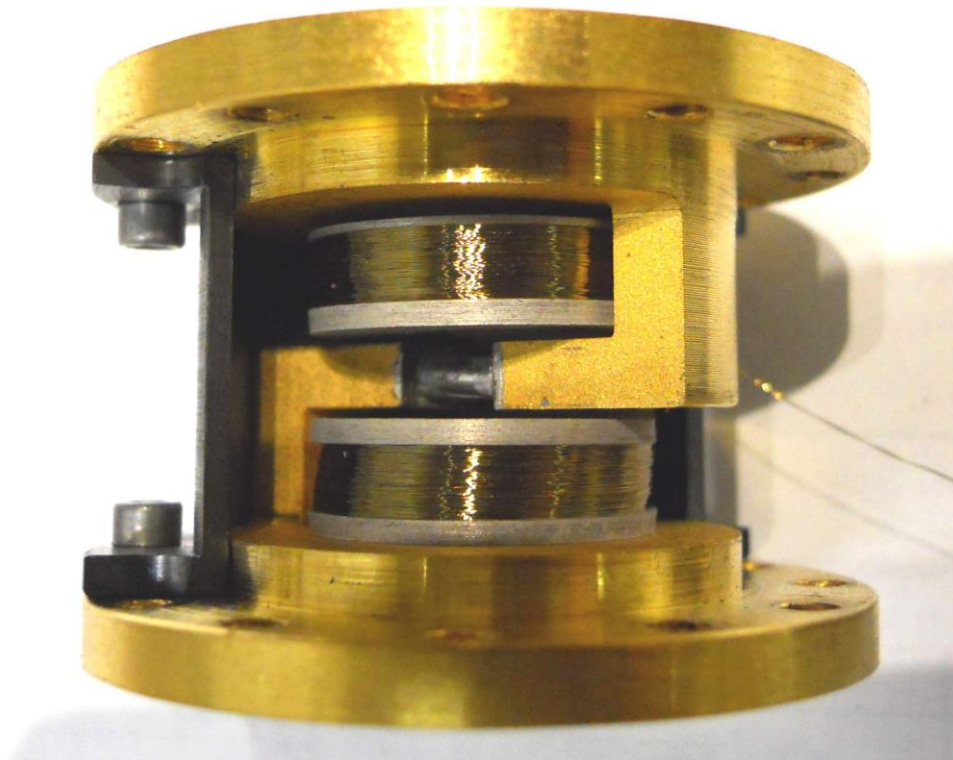
Heat Switches-Mechanical

- Differential contraction
- Motor driven
- Manual
- Magnetostrictive
- Piezoelectric

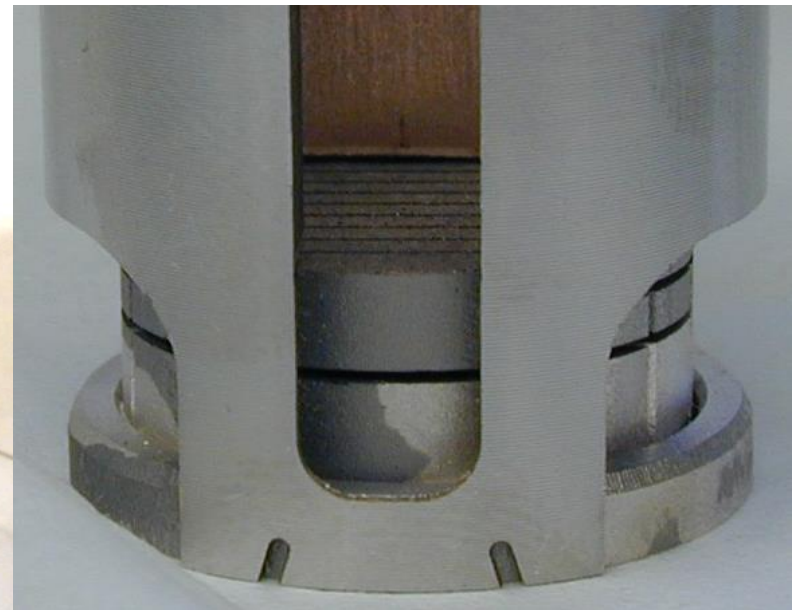


Heat Switches - Other

Superconducting



Magnetoresistive





Superconductivity

- Quantum mechanical effect where electrons in certain conductors combine to form “Cooper pairs”
 - Transition point affected by temperature, current density, and magnetic field
- Characterized by zero electrical resistance and drop in thermal conductivity
 - Cooper pairs carry current and pass through the material without interacting
 - May be used for low T heat switch
- Types of superconductors
 - Type I – Generally pure metals, $T_c < 10$ K
 - Also can be used as a magnetic shield
 - Type II – Alloys, some pure metals, $T_c < 20$ K
 - Can remain superconducting in higher fields
 - MgB_2 – Magnesium Diboride, $T_c \sim 39$ K
 - High Temperature Superconductors (HTS) – Ceramics, $T_c < 110$ K



High Temperature Superconductivity

- Usually a ceramic consisting of RBCO, where R is a rare earth element, for instance YBCO, yttrium barium copper oxide
- Can make large/high field coils
- Joints have small amount of resistance so coil is not “persistent”
- Best performance is for bulk or flat tapes made with a thin film deposition
 - Round wire forms are now being explored



Making Use of Superconductivity

- i^2R -free coils for motors and actuators
 - Also proposed for energy storage
- Low thermal conductance high current wiring
- SQUIDs (Superconducting Quantum Interference Devices)

Magnet that produces 3 T with 2 A input



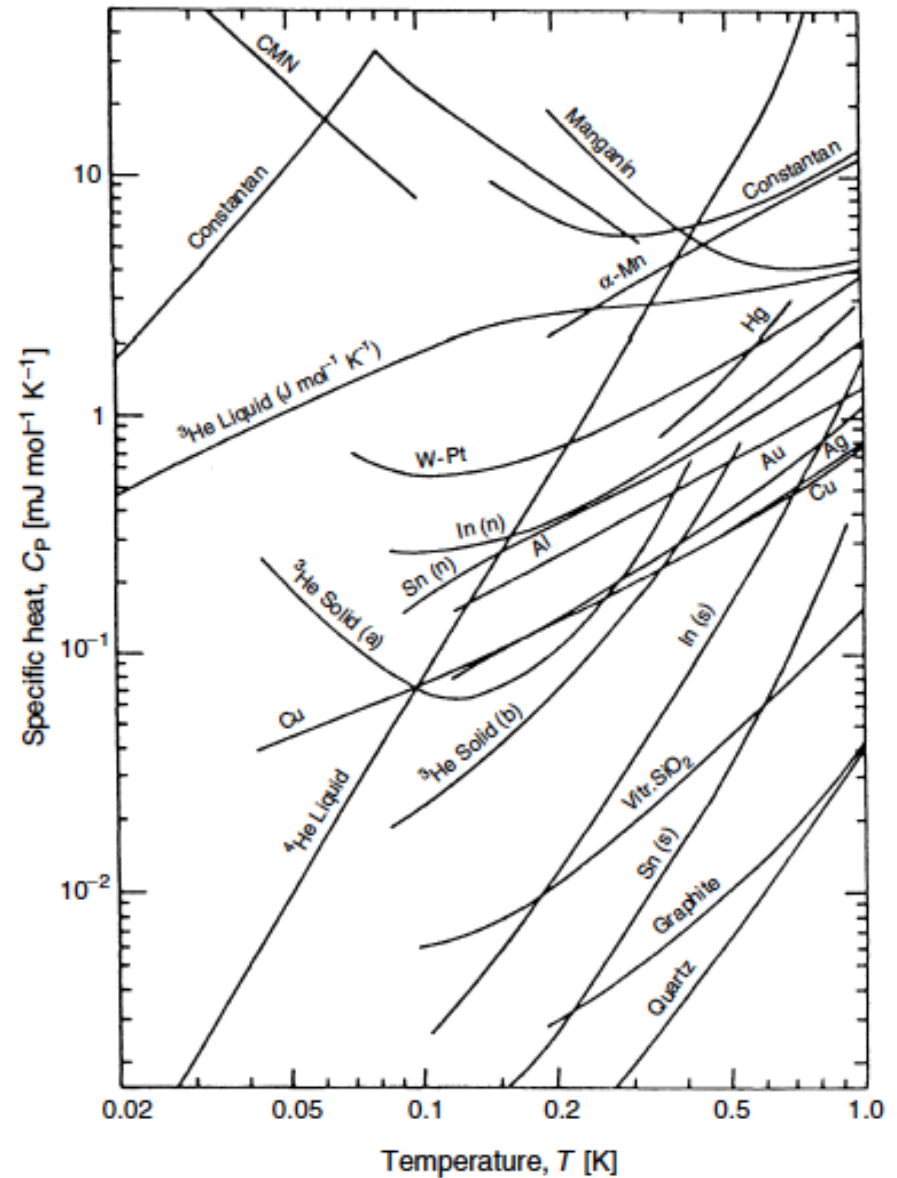
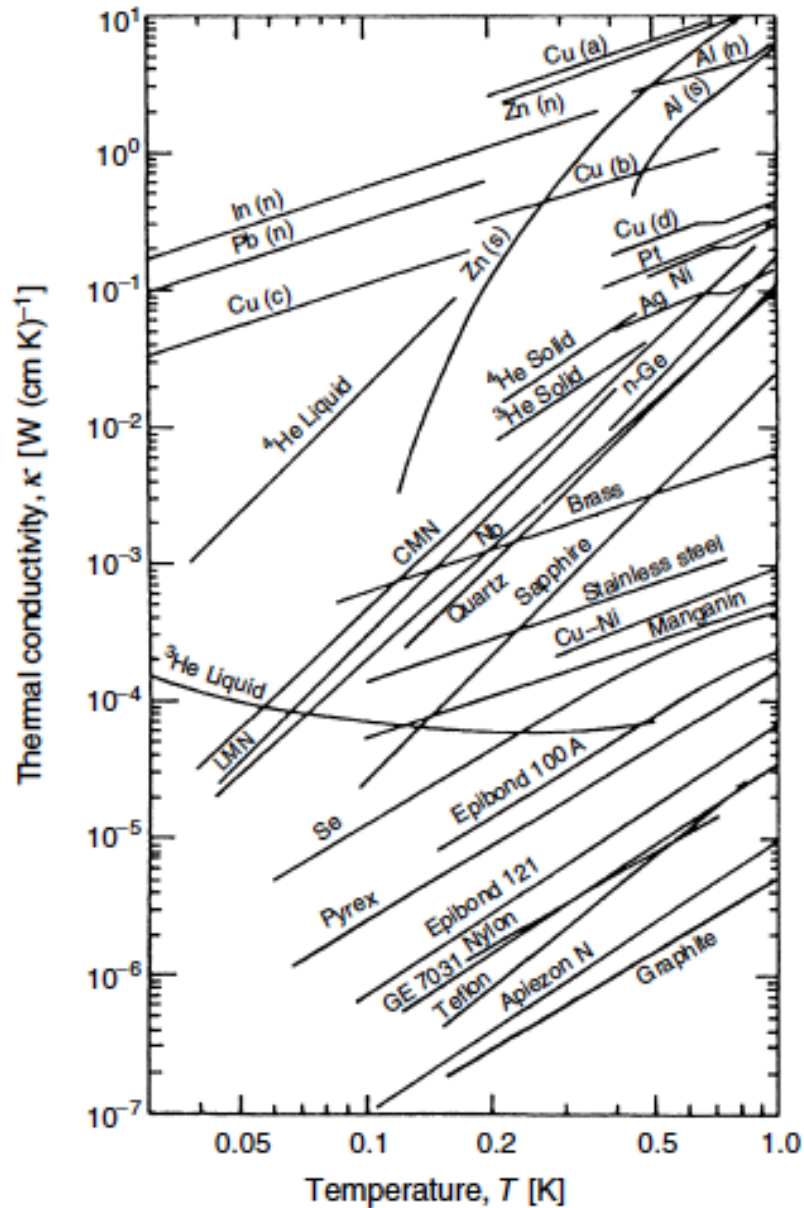


In the Regime of Sub Kelvin Temperatures

- Quantum behavior
 - ^3He has Fermi-Dirac statistics (like electrons) and ^4He has Bose-Einstein statistics (like photons)
 - Helium does not freeze at atm. pressure
- ^3He and ^4He
 - ^3He dissolves in ^4He , creating an opportunity for cooling
- Boundary resistance
 - Not thermal contact *per se*, but a thermal conductance that depends only on surface area
 - Due to phonon mismatch across two different solids or phonon mismatch from liquid helium to solid (Kapitza resistance)



Very Low T Conductivity and Specific Heat





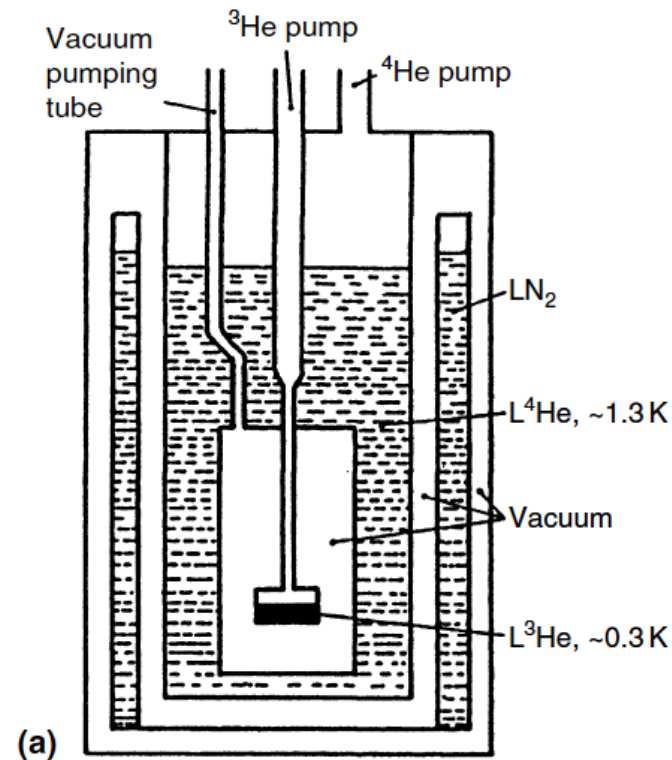
Sub Kelvin Refrigeration

- ^3He sorption coolers
- Dilution refrigerators
- Adiabatic demagnetization



^3He Sorption

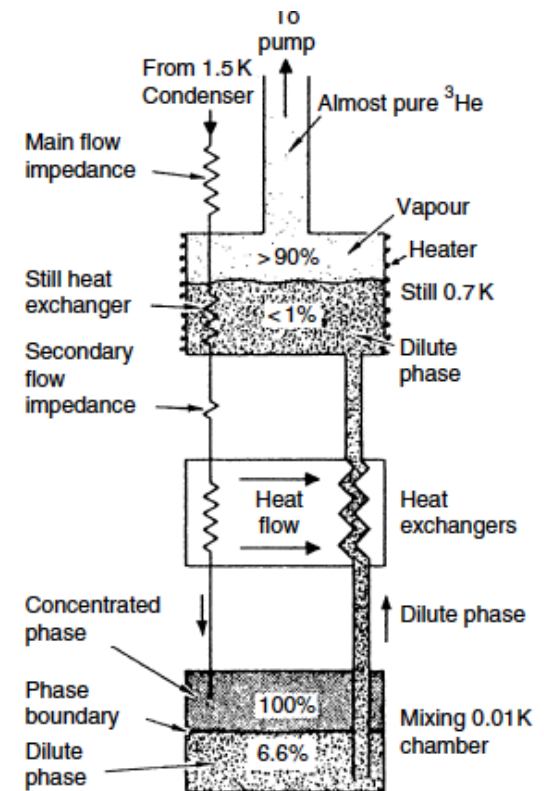
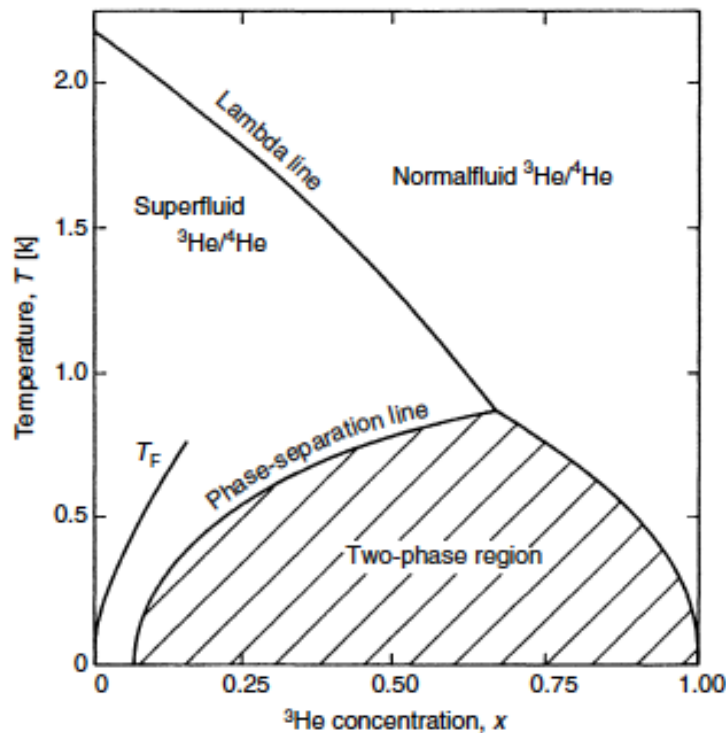
- Sorption Coolers use a getter to pump the vapor from a liquid reservoir
 - Getter is recycled by heating and the gas is recondensed by a higher temperature stage





Dilution Refrigeration

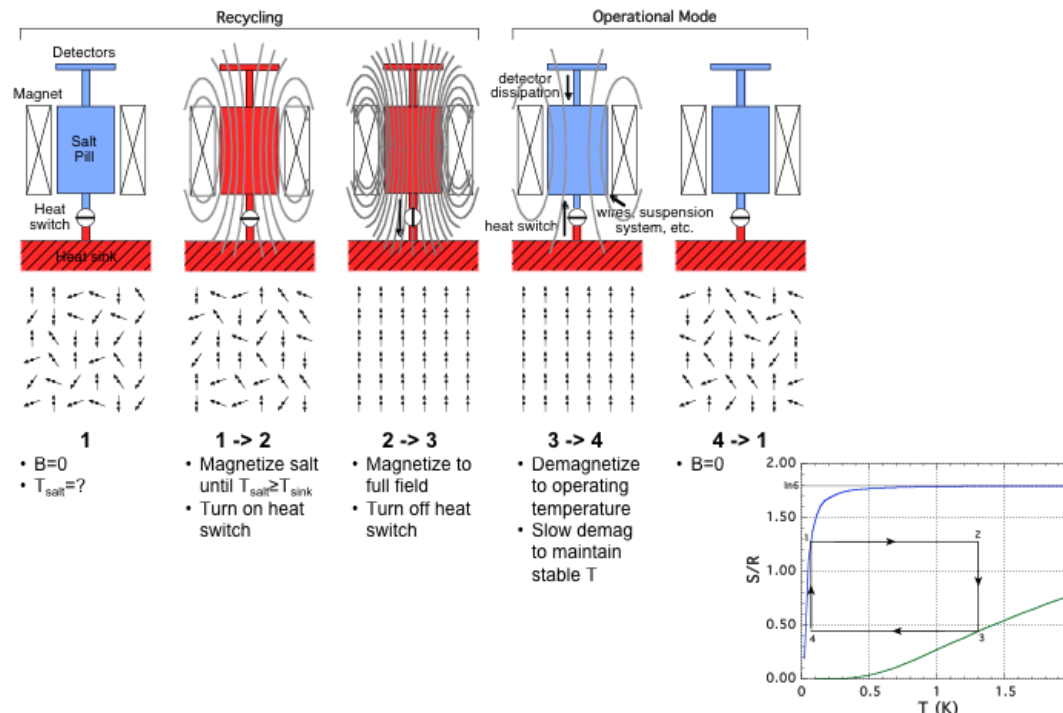
- Diluting the lighter isotope ^3He , in liquid ^4He , increases the entropy of the system and therefore cools
- Makes use of the non-zero solubility of ^3He in ^4He even at very low temperatures
- Can be made continuous by separating the ^3He out of solution at higher temperature and then re-condensing it





Adiabatic Demagnetization

- $SdT = MdH$ takes the place of $d(ST) = d(PV)$ in a cryocooler cycle
- [add in ch. 14 material from Zemansky]
- Adiabatic demagnetization refrigeration follows a very Carnot-like cycle of constant S and constant T
 - Produces efficiencies close to Carnot
 - No moving parts for low temperature ADRs using gas-gap heat switches



Continuous ADR





Bibliography

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- Cryogenic Engineering, Thomas M. Flynn, CRC Press (2005)



Final Thought

- It has been said that a problem in low temperature physics can eventually be used to measure and achieve even lower temperatures
 - Problems are actually opportunities!